



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/804,674	03/12/2001	Clayton P. Spitz	FDC 0150 PUS

CONFIRMATION NO. 1853

## FORMALITIES LETTER



\*OC0000000006124230\*

Mark E. Stuenkel  
Brooks & Kushman P.C.  
22nd Floor  
1000 Town Center  
Southfield, MI 48075-1351

RECEIVED

SEP 05 2001

OFFICE OF PETITIONS

Date Mailed: 05/29/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$116.
  - \$36 for 2 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 956.**

09/05/2001 AWDNDAF1 000000012 09804674  
00 00 00  
710.00  
130.00  
80.00  
36.00  
01 FC:101  
02 FC:105  
03 FC:102  
04 FC:103

*A copy of this notice **MUST** be returned with the reply.**EJ*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

THIS PAGE BLANK (usPto)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

CLAYTON P. SPITZ ET AL.

Group Art Unit:2876

Examiner: NOT ASSIGNED

Serial No.: 09/804,674

Filed: March 12, 2001

For: PURCHASING CARD TRANSACTION RISK MODEL

Attorney Docket No.: FDC 0150 PUS

**MISSING PARTS TRANSMITTAL LETTER**

Attention: Box Missing Parts  
Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

**RECEIVED**  
**SEP 05 2001**  
**OFFICE OF PETITIONS**

Sir:

Enclosed with reference to the above matter are the following documents:

1. Notice to File Missing Parts of Application (copy to be returned);
2. Declaration for Patent Application;
3. Petition For Filing Patent Application Under 37 C.F.R. § 1.47(a), with petition fee of \$130.00;
4. Affidavit Of Mark Stuenkel;
5. Petition For Extension Of Time (one month) with check for one-month extension fee of \$110.00; and
6. Check in the amount of \$956.00 representing the surcharge (\$130.00) and patent application filing fee (\$826.00 including filing fee for large entity, payment for 2 additional claims over 20 and payment for 1 additional independent claim over 3).

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Attention: Box Missing Parts, Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231 on:

August 29, 2001  
Date of Deposit

MARK E. STUENKEL  
Name of Person Signing

  
Signature

The Commissioner is hereby authorized to charge any additional fees or credit any overpayments to our Deposit Account No. 02-3978. A duplicate of this Transmittal Letter is enclosed for that purpose.

Respectfully submitted,

**CLAYTON P. SPITZ ET AL.**

By:   
**MARK E. STUENKEL**  
Reg. No. 44,364  
Attorney/Agent for Applicants

Date: August 29, 2001

**BROOKS & KUSHMAN P.C.**  
1000 Town Center, 22nd Floor  
Southfield, MI 48075  
Phone: 248-358-4400  
Fax: 248-358-3351  
810 6343757